

10/17/01
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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **13 DV 13929**First Inventor **Bruce D. Innes**Title **Method and Application For
Developing A Statement of Work**Express Mail Label No. **811314982**

08/17/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit on original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages **29**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets **18**]
5. Oath or Declaration [Total Pages **2**]
 a. Newly executed (original or copy)
 Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee) → Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

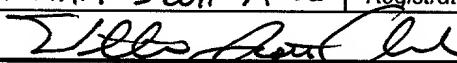
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label (Insert Customer Number or Bar Code Label here) or <input type="checkbox"/> Correspondence address below			
006111			
PATENT TRADEMARK OFFICE			
Address			
City _____ State _____ Zip Code _____			
Country _____ Telephone _____ Fax _____			
Name (Print/Type)	WILLIAM SCOTT ANDES	Registration No. (Attorney/Agent)	33582
Signature			Date 8/17/01

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 710. -)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Bruce D. Innes
Examiner Name	
Group Art Unit	
Attorney Docket No.	13DV13929

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **07-0865**
 Deposit Account Name **General Electric Co.**

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)		(\$ 710.00)			

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20** = 0	X 0 = 0	
3	-3** = 0	X 0 = 0	
Multiple Dependent			

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$ 0)			

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid		
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
105	130	205	65		
			Surcharge - late filing fee or oath		
127	50	227	25		
			Surcharge - late provisional filing fee or cover sheet		
139	130	139	130		
			Non-English specification		
147	2,520	147	2,520		
			For filing a request for ex parte reexamination		
112	920*	112	920*		
			Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*		
			Requesting publication of SIR after Examiner action		
115	110	215	55		
			Extension for reply within first month		
116	390	216	195		
			Extension for reply within second month		
117	890	217	445		
			Extension for reply within third month		
118	1,390	218	695		
			Extension for reply within fourth month		
128	1,890	228	945		
			Extension for reply within fifth month		
119	310	219	155		
			Notice of Appeal		
120	310	220	155		
			Filing a brief in support of an appeal		
121	270	221	135		
			Request for oral hearing		
138	1,510	138	1,510		
			Petition to institute a public use proceeding		
140	110	240	55		
			Petition to revive - unavoidable		
141	1,240	241	620		
			Petition to revive - unintentional		
142	1,240	242	620		
			Utility issue fee (or reissue)		
143	440	243	220		
			Design issue fee		
144	600	244	300		
			Plant issue fee		
122	130	122	130		
			Petitions to the Commissioner		
123	50	123	50		
			Petitions related to provisional applications		
126	240	126	240		
			Submission of Information Disclosure Stmt		
581	40	581	40		
			Recording each patent assignment per property (times number of properties)		
146	710	246	355		
			Filing a submission after final rejection (37 CFR § 1.129(a))		
149	710	249	355		
			For each additional invention to be examined (37 CFR § 1.129(b))		
179	710	279	355		
			Request for Continued Examination (RCE)		
169	900	169	900		
			Request for expedited examination of a design application		
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 0)			

Complete if Known

SUBMITTED BY		Complete if applicable	
Name (Print/Type)	WILLIAM SCOTT ANDERSON	Registration No. (Attorney/Agent)	33,582
Signature			
Telephone	513-243-5955		
Date	8/17/01		

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